



City of Lancaster

Joseph C. Peiffer, Esq.

Fishman, Haygood, Phelps, Walmsley, Willis & Swanson LLP

W hereas, Joseph C. Peiffer has demonstrated excellence in trial advocacy as Plaintiff's counsel, in the Financial Industry Regulatory Authority matter of *Guadalupe Sandoval v. Charles Schwab & Co. Inc.*; and

W hereas, through outstanding legal prowess, Joseph C. Peiffer achieved for this client, an equitable remedy noteworthy of recognition; and

W hereas, Joseph C. Peiffer exemplified the skill of a true litigator through defense of this client;

"In defense of civil liberties, let us always seek to do what is good and just, reprove what is cruel, rightly defend the tenets of Justice and be a true advocate for those in need of protection."

N ow, therefore, Joseph C. Peiffer, Esq. is to be commended for exceptional achievement in securing a \$4,570,518 arbitration award in the Breach of Fiduciary Duty case of *Guadalupe Sandoval v. Charles Schwab & Co. Inc.*, in the Financial Industry Regulatory Authority, on December 6, 2013.

I n Witness Whereof, I have hereunto set my hand and caused the seal of the City of Lancaster to be affixed this 11th Day of February 2014.


R. Rex Parris, Mayor